# THE ARVISOR

High moral and ethical standards are essential to assure the trust, respect, and confidence of the people of Alaska.

## SELECT COMMITTEE ON LEGISLATIVE ETHICS

## Confidential complaints are confidential!

CONFIDENTIAL CONFIDENTIAL Complaints filed with the Ethics Committee are initially confidential.

The first step in the complaint process is a preliminary examination of the complaint. The purpose of the preliminary examination is to determine whether the allegations in the complaint, if true, would amount to a violation of the Ethics Act.

Even if the allegations were true, if it is determined that there is no violation of the Act, the complaint process stops, but the complaint itself continues to be confidential. The written decision is not published on the Ethics Committee website and the decision is never made public.

If a legislator or legislative employee learns, by any means, of a complaint

that has not been made public, that person should not talk to others about it. Even mentioning the mere existence of a confidential complaint can lead to a new complaint being brought against the person talking *about* the complaint. See <u>Complaint H 17-03</u> as an example.



Contact the Ethics Office at 907-269-0150 if you have questions.





## Committee Members

Lee Holmes, Chair H. Conner Thomas Skip Cook Deb Fancher Joyce M. Anderson Sen John Coghill Sen Tom Begich Rep Louise Stutes Rep DeLena Johnson

## Staff

Jerry D. Anderson, Administrator 269-0150

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# Campaign fund raising prohibition

AS 24.60.031(a) and (b) ...on a day when either house of the legislature is in regular or special session, solicit or accept a contribution or a promise or pledge to make a contribution....

#### Check for and remove donation language and payment buttons from your campaign websites during session.

Contact the Ethics Office for further details at 907-269-0150 or Ethics.Committee@akleg.gov

#### Sec. 24.60.031. Restrictions on fund raising.

- (a) A legislative employee may not
  - (1) on a day when either house of the legislature is in regular or special session, solicit or accept a contribution or a promise or pledge to make a contribution for a campaign for state or municipal office; however, a legislative employee may, except in the capital city or in the municipality in which the legislature is convened in special session if the legislature is convened in a municipality other than the capital city, solicit or accept a contribution, promise, or pledge for a campaign for state or municipal office that occurs during the 90 days immediately preceding the election for that office; or
  - (2) accept money from an event held on a day when either house of the legislature is in regular or special session if a substantial purpose of the event is to raise money on behalf of the legislative employee for political purposes; however, this paragraph does not prohibit a legislative employee from accepting money from an event held in a place other than the capital city or a municipality in which the legislature is convened in special session if the legislature is convened in a municipality other than the capital city during the 90 days immediately preceding an election for state or municipal public office in which the legislative employee is a candidate.

#### (b) A legislator may not

- (1) on a day when either house of the legislature is in regular or special session, solicit or accept a contribution or a promise or pledge to make a contribution
  - (A) for the legislator's own campaign for state or municipal public office, unless the solicitation, acceptance, promise, or pledge occurs in a place other than the capital city or a municipality in which the legislature is convened in special session if the legislature is convened in a municipality other than the capital city during the 90 days immediately preceding the election in which the legislator is a candidate;
  - (B) for another candidate in an election for municipal, state, or federal office;
  - (C) to influence a state ballot proposition or question; or
  - (D) for a political party;
- (2) accept money from an event held on a day when either house of the legislature is in regular or special session if a substantial purpose of the event is to raise money on behalf of the legislator's campaign for state or municipal public office; however, this paragraph does not prohibit a legislator from accepting money from an event held in a place other than the capital city or a municipality in which the legislature is convened in special session if the legislature is convened in a municipality other than the capital city during the 90 days immediately preceding a state or municipal election in which the legislator is a candidate; or
- (3) in a campaign for state or municipal office, expend money that was raised on a day when either house of the legislature was in a legislative session by or on behalf of a legislator under a declaration of candidacy or a general letter of intent to become a candidate for public office; however, this paragraph does not apply to money raised in a place other than the capital city or a municipality in which the legislature is convened in special session if the legislature is convened in a municipality other than the capital city during the 90 days immediately preceding an election in which the legislator is a candidate.
- (c) In this section, "contribution" has the meaning given in AS 15.13.400. (§ 4 ch 127 SLA 1992; am § 27 ch 48 SLA 1996; am § 19 ch 74 SLA 1998; am §§ 2, 3 ch 106 SLA 2008)

#### DISCLAIMER

Information in this newsletter is given as a general overview. Your circumstances may require more specific information and advice. Please contact the ethics office if you have any questions as to whether your intended action is in compliance with the Ethics Act AS 24.60.

# Are you terminating your service with the Legislature?

Under AS 24.60.115, legislators, legislative employees, and public members of the Ethics Committee, must file — within 90 days after leaving service — a final disclosure reporting new associations or interests not disclosed prior to leaving office.

Below is a list of disclosures to review in determining the need to file a final disclosure.

- Appointed or reappointed to a board or commission AS 25.60.030(f)
- Obtained a state contract or lease AS 24.60.040
- Participated in a state program or obtained a state loan AS 24.60.050
- Formed a close economic association (financial relationship) with an individual who must file a Financial Disclosure with the Alaska Public Offices Commission AS 24.60.070 (i.e., legislator, legislative employee, lobbyist, or public official)
- Represented a client for pay before a state agency, board or commission AS 24.60.100
- Received a gift not connected to legislative status that is \$250 or more in value AS 24.60.080(c)(8)
- Received a gift of travel/hospitality for legislative matters that is \$250 or more in value AS 24.60.080(c)(4)
- Family member received a gift because of legislative connection that is \$250 or more in value -AS 24.60.080
  (i)
- A new association relating to a transition to post legislative work.

Contact the Ethics Office at 269-0150 or 269-8179 for more information.

#### File your disclosure online. It's easy!

- 1. Go to <a href="http://intranet.akleg.gov/">http://intranet.akleg.gov/</a> and scroll down to "File now" under the "File an Ethics Disclosure" section.
- 2. Go to the line that begins with "Click HERE."
- 3. Double click on the word "HERE."
- 4. Enter your credentials (your computer log-in).
- 5. Click on the type of disclosure you want to file.
- 6. Complete the form using drop down menus when available. (Provide complete information. Gifts of travel are for the purpose of obtaining information about matters of legislative concern. Include one or two sentences about how the information you obtained is a matter of legislative concern.)
- 7. Check the final disclosure box and sign the disclosure.
- 8. Check your completed disclosure for accuracy and submit.

Contact the Ethics Office at 269-0150 or 269-8179 for more information.

## **APOC Legislative Financial Disclosures**

**Public members of the Ethics Committee** must file an annual report with the Alaska Public Offices Commission by Monday, January 11, 2021.

**Legislators and legislative directors** must file an annual report with the Alaska Public Offices Commission by Monday, March 15, 2021. Legislative staff is permitted to help with preparing and filing a legislator's annual financial disclosure on state time using a government computer.

If you have questions, call APOC at 276-4176.

## Legislative Purpose Test

Before taking action or accepting a gift of travel, ask yourself:

- What is the legislative purpose of my action?
- Is the activity or service necessary to perform my official duties?

If not, do not take action or accept a gift of travel.

Remember:

- There is no definition of "legislative purpose" in the Legislative Ethics Act.
- Each situation may need to be determined on a case-by-case basis.
- Several statutes and formal advisory opinions provide guidance.
- Contact the Ethics Office at 907-269-0150 or Ethics.Committee@akleg.gov for more information.

## Annual disclosures must be filed by February 18, 2021

AS 24.60.105 requires legislators, legislative staff, LAA staff, and staff with other agencies under the legislature to file annual disclosures if they are a member of a board of directors, a recipient of certain state benefit and loan programs, have an interest in a state contract or lease, and/or are in a close economic association with a lobbyist spouse or domestic partner.

Remember: Disclosures are required within 30 days of the beginning of the association, annually within the first 30 days of a legislative session (February 18, 2021), and within 90 days after your final day of service if the association was not previously disclosed.



## **File Your Ethics Disclosures Online**

- 1. Go to <u>http://intranet.akleg.gov/</u> and scroll down to "File now" under the "File an Ethics Disclosure" section.
- 2. Go to the line that begins with "Click HERE."
- 3. Double click on the word "HERE."
- 4. Enter your credentials (your computer log-in).
- 5. Click on the type of disclosure you want to file.
- 6. Complete the form using drop down menus when available. (Provide complete information. Gifts of travel are for the purpose of obtaining information about matters of legislative concern. Include one or two sentences about how the information you obtained is a matter of legislative concern.)
- 7. Check your completed disclosure for accuracy, sign, and submit.

#### **Contact the Select Committee on Legislative Ethics**

Mailing Address: PO Box 90251 Anchorage, AK 99509-0251

#### **Physical Location:**

Anchorage Legislative Office Building 1500 W Benson Blvd Suite 220 Anchorage, AK 99503 Phone: 907-269-0150 FAX: 907-269-0152 Email: Ethics.Committee@akleg.gov Website: <u>http://ethics.akleg.gov/</u>

